

Help! My First Ever Customer Complaint!

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Dear Sander,

I am a registered representative with a broker-dealer, and I got my first customer complaint today. She is complaining that her investments lost value and that I am responsible ... I told her all about the products before she agreed to purchase them. I can't predict the markets or the future. Is there anything I could have done that might have prevented this complaint?

To read more, click here.