

Reporting Unpaid Bills and Client Portfolio Disclosure Obligations

Third Week of July 2021, Wealth Solutions Report



Dear Sander,

I am a registered representative with an independent broker-dealer. Last year, my wife was hospitalized for a severe illness. We incurred significant medical bills – way more than we could afford. Unfortunately, these hospital bills are now at a collection agency. Do I need to tell my firm?

To read more, <u>click here</u>.